



**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 5**

Docket No. P5758-US-NP-0

Applicant: Mark A. Carlson and Rowan E. da Silva  
 Serial No: 10/041,883  
 Filed: October 18, 2001  
 For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM  
 RESOURCES  
 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: 2461-2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		20010043617	A1	MCKINNON	11/22/01
PBT		20020113816	A1	MITCHELL, ET AL.	08/22/02
PBT		20020188584	A1	GHANNAM	12/12/02
PBT		20030169289	A1	HOLT	09/11/03
PBT		20030184580	A1	KODOSKY, ET AL.	10/02/03
PBT		2,012,527	B1	BATCHELDER	08/27/35
PBT		2,675,228	B1	BAIRD, ET AL.	04/13/54
PBT		3,571,677	B1	OESCHGER	03/23/71
PBT		4,138,692	B1	MEEKER	02/06/79
PBT		4,228,219	B1	DE JAGER	10/14/80
PBT		4,558,395	B1	YAMADA	12/10/85
PBT		4,665,466	B1	GREEN	05/12/87
PBT		4,721,996	B1	TUSTANIWSKYJ	01/26/88
PBT		4,729,424	B1	MIZUNO	03/08/88
PBT		4,733,331	B1	CHAUVET	03/22/88
PBT		4,791,983	B1	NICOL	12/20/88
PBT		4,809,134	B1	TUSTANIWSKYJ	02/28/89
PBT		4,882,654	B1	NELSON	11/21/89
PBT		4,870,477	B1	NAKANISHI	09/26/89
PBT		4,977,444	B1	NAKAJIMA	12/11/90
PBT		5,144,531	B1	GO	09/01/92

**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
PBT		WO	WO0029954	A1	MTI TECHNOLOGY CORPORATION	5/25/2000	<input type="checkbox"/>
PBT		WO	WO0072183	A2	CABLETRON SYSTEMS, INC.	11/30/2000	<input type="checkbox"/>
PBT		WO	WO0130007	A2	IBM; MCDATA CORPORATION	4/26/2001	<input type="checkbox"/>
PBT		WO	WO02089418	A1	THE BOEING COMPANY	11/7/2002	<input type="checkbox"/>
PBT		WO	WO0225870	A1	STORAGEAPPS, INC.	3/28/2002	<input type="checkbox"/>
PBT		EP	EP 0 623 876	A1	IBM CORP	11/9/1994	<input type="checkbox"/>
PBT		EP	EP 0 773 649	A2	SUN MICROSYSTEMS, INC.	5/14/1997	<input type="checkbox"/>

Examiner  
Signature

*Philip Tran*

Date  
Considered

9/13/05

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 2 of 5**

Docket No. P5758-US-NP-0

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 RESOURCES  
 Examiner: ~~Not Yet Assigned~~ P. TRAN  
 Art Unit: 2151 2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		5,166,863	B1	SHMUNIS	11/24/92
PBT		5,177,667	B1	GRAHAM	01/05/93
PBT		5,183,104	B1	NOVOTNY	02/02/03
PBT		5,282,847	B1	KOIZUMI	02/01/94
PBT		5,323,847	B1	KOIZUMI	06/28/94
PBT		5,406,807	B1	ASHIWAKE	04/18/95
PBT		5,465,192	B1	YOSHIKAWA	11/07/95
PBT		5,535,094	B1	NELSON	07/09/96
PBT		5,588,119	B1	VINCENT	12/24/96
PBT		5,675,473	B1	MCDUNN	10/07/97
PBT		5,701,045	B1	YOKOZAWA	12/23/97
PBT		5,706,668	B2	HILPERT	01/13/98
PBT		5,751,933	B1	DEV	05/12/98
PBT		5,912,802	B1	NELSON	06/15/99
PBT		5,940,269	B1	KO	08/17/99
PBT		5,950,011	B1	ALBRECHT	09/07/99
PBT		6,029,742	B1	BURWARD-HOY	02/29/00
PBT		6,031,528	B1	LANGFAHL	02/29/00
PBT		6,050,327	B1	GATES	04/18/00
PBT		6,101,616	B1	JOUBERT	08/08/00

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Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
PBT		EP	EP 1 111 840	A2	NORTEL NETWORKS LIMITED	6/27/2001	<input type="checkbox"/>
PBT		GB	GB 2 278 468	A	INTERNATIONAL COMPUTERS LIMITED	11/30/1994	<input type="checkbox"/>
PBT		GB	GB 2 344 963	A1	NORTEL NETWORKS CORP	6/21/2000	<input type="checkbox"/>
PBT		GB	GB 2 354 611	A1	IBM CORP	3/28/2001	<input type="checkbox"/>
PBT		WO	WO9842102	A1	CROSSKEYS SYSTEMS CORPORATION	9/24/1998	<input type="checkbox"/>
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 3 of 5**

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 Art Unit: 2151 2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		6,118,776	B1	BERMAN	09/12/00
PBT		6,125,924	B1	LIN	10/03/00
PBT		6,130,820	B1	KONSTAD	10/10/00
PBT		6,135,200	B1	OKOCHI	10/24/00
PBT		6,137,680	B1	KODAIRA	10/24/00
PBT		6,144,379	B1	BERTRAM	11/07/00
PBT		6,205,796	B1	CHU	03/27/01
PBT		6,205,803	B1	SCARINGE	03/27/01
PBT		6,213,194	B1	CHRYSLER	04/10/01
PBT		6,229,538	B1	MCINTYRE	05/08/01
PBT		6,313,990	B1	CHEON	11/06/01
PBT		6,396,697	B1	CHEN	05/28/02
PBT		6,425,007	B1	MESSINGER	07/23/02
PBT		6,438,984	B1	NOVOTNY	08/27/02
PBT		6,477,572	B1	ELDERTON	11/05/02
PBT		6,526,768	B1	WALL	03/04/03
PBT		6,542,360	B1	KOIZUMI	04/01/03
PBT		6,587,343	B1	NOVOTNY	07/01/03
PBT		6,604,137	B2	COWAN	08/05/03
PBT		6,628,304	B2	MITCHELL	09/30/03

**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT    Sheet 4 of 5**

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Examiner: ~~Not Yet Assigned~~ P. TRAN  
Art Unit: 2151 → 2155

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 5 of 5**

Docket No. P5758-US-NP-0

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 RESOURCES  
 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: ~~2154~~ 2155

**OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS**

Exam Initia	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
PBT		ANONYMOUS, "Jini: New Technology for a Networked World", URL: <a href="http://javaworld.com/javaworld/jw-06-1999/jw-06-jiniology_p.html">http://javaworld.com/javaworld/jw-06-1999/jw-06-jiniology_p.html</a> , 06/30/99, p. Pages 1-5	<input type="checkbox"/>
PBT		ANONYMOUS, "Pattern: Blackboard", <a href="http://www.vico.org/pages/Patrons%20Blackboard">http://www.vico.org/pages/Patrons%20Blackboard</a> , 07/12/02, p. Pages 1-2	<input type="checkbox"/>
PBT		ANONYMOUS, "JAVAONE - SUN'S 2000 WORLDWIDE JAVA DEVELOPER CONFERENCE", <a href="http://servlet.java.sun.com/javaone/javaone2000/pdfs/TS-1156.pdf">http://servlet.java.sun.com/javaone/javaone2000/pdfs/TS-1156.pdf</a> , 11/24/03	<input type="checkbox"/>
PBT		BRENT KNIGHT, "Reducing the Complexity of Managing Private Loops", / / , p. 1-11	<input type="checkbox"/>
PBT		BUSHMAN, "Pattern Oriented Software Architecture, A System of Patterns", / / , p. Pgs 71-95, John Wiley & Sons, New York	<input type="checkbox"/>
PBT		DUPUY, ET AL., "NETMATE: A NETWORK MANAGEMENT ENVIRONMENT", IEEE NETWORK, 03/05/91, p. 35-40, 43, New York	<input type="checkbox"/>
PBT		FINKE, ET AL., "Distribution and Inheritance in the HERON Approach to Heterogeneous Computing", Proceedings of the Int'l Conf on Distributed Computing Systems, 05/25/93, p. 399-408, IEEE Comp Soc Press, Los Alamitos	<input type="checkbox"/>
PBT		RICHARD, "Fibre Channel as a Network Backbone", WESCON/94, IDEA/MICROELECTRONICS, Conference record Anaheim, p. 653-659, New York	<input type="checkbox"/>
			<input type="checkbox"/>

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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 1 of 2**

Docket No. P5758

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Examiner: Not Yet Assigned P. TRAN  
Art Unit: 2151 2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Document Number	Kind Code	Patentee or Applicant Name	Publication Date
PBT		2001/0044907	A1	Yoshimoto, et al.	11/22/2001
PBT		2002/0069377	A1	Mabuchi, et al.	6/6/2002
PBT		6,006,251	B1	Toyouchi, et al.	12/21/1999
PBT		2003/0055972	A1	Fuller, et al.	3/20/2003
PBT		2003/0074599	A1	Golasky, et al.	4/17/2003
PBT		5,504,858	B1	Ellis, et al.	4/2/1996
PBT		5,956,750	B1	Yamamoto, et al.	9/21/1999
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PBT		6,671,776	B1	DeKoning	12/2003
PBT		6,243,747	B1	Lewis, et al.	6/2001
PBT		2002/0133669	A1	Devireddy, et al.	9/2002
PBT		2002/0169858	A1	Bellinger, et al.	11/2002
PBT		20020184300	A1	Schmelling, et al.	12/2002
PBT		6,167,445	B1	Gai, et al.	12/2000
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PBT		6,834,298	B1	Singer, et al.	12/2004
PBT		6,772,204	B1	Hansen	8/2004
PBT		6,704,778	B1	Horman	3/2004
			A1		

**FOREIGN PATENT DOCUMENTS**

Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
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**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT Sheet 2 of 2**

Docket No. P5758

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 Examiner: Not Yet Assigned P. TRAN  
 Art Unit: 2451-2155

**U.S. PATENT DOCUMENTS**

Exam Inits	Cite No.	Document Number	Kind Code	Patentee or Applicant Name	Publication Date
PBT		6,392,667	B1	McKinnon, et al.	5/2002
PBT		6,151,031	B1	Atkins, et al.	11/2000
PBT		6,067,545	B1	Wolff	5/2000
PBT		6,425,005	B1	Dugan, et al.	7/2002
PBT		5,771,388	B1	Mondrik, et al.	6/1998
PBT		6,658,526	B2	Nguyen, et al.	12/2003
PBT		2003/0091037	A1	Latif, et al.	5/2003
PBT		2003/0093501	A1	Carlson, et al.	5/2003
PBT		2002/0194407	A1	Kim	12/2002
PBT		2002/0162010	A1	Allen, et al.	10/2002
PBT		6,151,331	B1	Wilson	11/2000
			A1		
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			A1		
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			A1		
			A1		
			A1		

**OTHER PRIOR ART - NON PATENT LITERATURE AND DOCUMENTS**

Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
PBT		Business Editors & High-Tech Writers, "Tivoli Simplifies SAN Management", Business Wire, October 9, 2000, New York	<input type="checkbox"/>
			<input type="checkbox"/>

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT Sheet 1 of 1</b>	Docket No. P5758
Applicant: Mark A. Carlson and Rowan E. da Silva Serial No: 10/041,883 Filed: October 18, 2001 For: METHOD, SYSTEM AND PROGRAM FOR CONFIGURING SYSTEM RESOURCES Examiner: <del>Not Yet Assigned</del> P. TRAN Art Unit: <del>2151</del> 2155	

U.S. PATENT DOCUMENTS					
Exam Inits	Cite No.	Patent Number	Kind Code	Patentee or Applicant Name	Issue Date
PBT		6,243,747	B1	Lewis, et al.	6/2001

FOREIGN PATENT DOCUMENTS							
Exam Inits	Cite No.	Cy	Number	Kind Code	Patentee or Applicant Name	Publication Date	T
PBT		WO	03/014911	A2	Sun Microsystems, Inc.	2/20/2003	<input type="checkbox"/>

OTHER PRIOR ART – NON PATENT LITERATURE AND DOCUMENTS			
Exam Inits	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the articles (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
PBT		ANONYMOUS, "Jiro Technology Technical Overview", URL: <a href="http://sunsolve.sun.com/kmsattachments/22961">http://sunsolve.sun.com/kmsattachments/22961</a>	<input type="checkbox"/>
PBT		MARTIN Jean-Christophe, "Policy-Based Networks", URL: <a href="http://www.sun.com/solutions/blueprints/1099/policy.pdf">http://www.sun.com/solutions/blueprints/1099/policy.pdf</a>	<input type="checkbox"/>
PBT		ANONYMOUS, "Sun's Managed Storage Networks", URL: <a href="http://sunsolve.sun.com/kmsattachments/22955">http://sunsolve.sun.com/kmsattachments/22955</a>	<input type="checkbox"/>
PBT		ANONYMOUS, "Jiro Technical Overview White Paper", Free White Papers/Tech Bulletins, Sun-Solve Search Result, URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22961@zone_32=jiro</a>	<input type="checkbox"/>
PBT		ANONYMOUS, "Managed Storage Networks White Paper", Free White Papers/Tech Bulletins, Sun-Solve Search Result, URL: <a href="http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed">http://sunsolve.sun.com/pub-cgi/retrieve.pl?doc=fwpaper/22955@zone_32=managed</a>	<input type="checkbox"/>
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Examiner Signature	<i>Philip Tran</i>	Date Considered	9/13/05
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Group Art Unit  
2151 2155

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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INITIAL

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S	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

PBT	Preliminary Amendment submitted in U.S. Patent Application No. 09/928,128, filed on August 10, 2001.
PBT	U.S. Patent Application Serial No. 09/928,128, filed on August 10, 2001, entitled, "Method, System, and Program for Generating and Using Configuration Policies", invented by M.A. Carlson and R.E. de Silva.
PBT	U.S. Patent Application Serial No. 09/927,985, filed on August 10, 2001, entitled, "Method, System, and Program for Managing Multiple Resources in a System", invented by M.A. Carlson and R.E. de Silva.
PBT	Sun Microsystems, Inc., "Federated Management Architecture (FMA) Specification", Version 1.0, Rev. 0.4, January 21, 2000, pp. 1-184.
PBT	Wilson, S., for Brocade Communications Systems, Inc., "Fibre Channel Storage Area Network Discovery", Rev. 3.5, September 10, 1999, pp. 1-20.
PBT	Veritas Software Corporation, "Storage Virtualization", April 2001, pp. 1-19.
PBT	Sun Microsystems, Inc., "Sun StorEdge Component Manager 2.1 User's Guide", Rev. A, July 2000, pp. 1-148

Philp. Tran

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